

121703

13281

U.S. PTO

UTILITY PATENT

APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

| | | | |
|--|--------|-------------|--|
| Attorney Docket No. | 032196 | Total Pages | |
| First Named Inventor or Application Identifier | | | |
| Hideo ONO, Hideto NAKAGAWA and Katsuhiro YAMAMOTO | | | |
| Express Mail Label No. | | | |

APPLICATION ELEMENTS FOR:

**WAVE-PROCESSING METHOD AND
WAVE-PROCESSING DIE FOR CORE METAL OF
WET FRICTION MATERIAL**

ADDRESS TO:
Commissioner for Patents
MAIL STOP PATENT APPLICATION
P. O. Box 1450
Alexandria, VA 22313-1450

1. [XX] Fee Transmittal Form (Incorporated within this form) **(The filing fee is deferred)**

2. [XX] Specification Total Pages [32]

3. [XX] Drawing(s) (35 USC 113) Total Sheets [9]

4. [] Oath or Declaration Total Pages []
NOT attached; deferred under P.L. 97-247

a. [] Newly executed (original)

b. [] Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed).i. [] Deletion of Inventor(s)Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. [] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. [] Microfiche Computer Program (Appendix)

7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. [] Computer Readable Copy
- b. [] Paper Copy (identical to computer copy)
- c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS8. [] Assignment Papers (cover sheet and document(s)) to: **(1) AISIN KAKO KABUSHIKI KAISHA, Aichi-ken, Japan; and
(2) YAMAMOTO SEISAKUSHO CO., LTD., Higashimatsuyama-shi, Japan**

Please publish the assignee data with the application.

9. [] 37 CFR 3.73(b) Statement (when there is an assignee) [] Power of Attorney

17858 U.S. PTO
10/736980
121703



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10. English translation Document (if applicable)

11. Information Disclosure Statement Copies of IDS Citations

12. Preliminary Amendment

13. Return Receipt Postcard (MPEP 503)

14. Small Entity Status is claimed.

15. Claim for Convention Priority Certified copy of Priority Document is enclosed.

a. Priority of Japanese application No. 2003-140758 filed on May 19, 2003 is claimed under 35 USC 119.

16. Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Division Continuation-in-part (CIP) of prior application no. _____/

| FEE TRANSMITTAL | Number Filed | Number Extra | Rate | .. Basic Fee \$770.00 |
|------------------------------------|------------------------|--------------|-----------|--------------------------|
| The filing fee is calculated below | | | | |
| Total Claims | 10 - 20 | | x \$18.00 | |
| Independent Claims | 4 - 3 | 1 | x \$86.00 | 86.00 |
| Multiple Dependent Claims | | | \$290.00 | |
| | Total Basic Filing Fee | | | 856.00 |
| Reduction by 1/2 for small entity | | | | |
| Fee for recording Assignment | | | \$40.00 | 40.00 |
| TOTAL | | | | \$896.00 |

XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.

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| PAGE 3 OF 3 | |

[] A check in the amount of _____ is enclosed to cover the filing fee of _____ and the assignment recordation fee of _____

[] Please charge our Deposit Account No. **50-2866** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account no. 50-2866

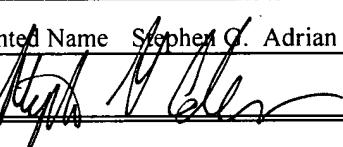
18. CORRESPONDENCE ADDRESS:

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
Customer No. 38834

SUBMITTED BY

Typed or Printed Name Stephen C. Adrian

Reg. No. 32,878

Signature 

Date: December 17, 2003

SGA/yap

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
1250 Connecticut Avenue, N.W., Suite 700
Washington, D.C. 20036
Tel: (202) 822-1100
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